Abstract- Various rule-extraction techniques using ANNs have been used so far, most of them being applied on multi-layer ANNs, since they are more easily handled. In many cases, extraction methods focusing on different types of networks and training have been implemented, however, there are virtually no methods that view the extraction of rules from ANNs as systems which are independent from their architecture, training and internal distribution of weights, connections and activation functions. This paper proposes a rule-extraction system of ANNs regardless of their architecture (multi-layer or recurrent), using Genetic programming as a rule-extraction technique.

I. INTRODUCTION

Artificial Neural Networks (ANNs) are systems which are easily implemented and handled. These and other features make them optimal for problem-solving in various areas. However, many developers and researchers avoid using them, since they consider them as “black boxes”, that is, they are systems which, produce certain response outputs from a series of inputs, while the process through which those outputs are produced remains unknown. For that reason, in fields such as medicine, where their use is highly recommended, people do not consider ANNs to be accountable, due to the fact that the reason for their right functioning and the solutions they contribute cannot be explained. An example could be medical diagnosis. A computational system designed for diagnosis should be able to explain the reason for that diagnosis and how it was reached.

Nevertheless, Expert Systems (ES) are able to explain the solution or response achieved, which is their main core and also their guarantee of success. Therefore, this paper tries to develop a system which carries out an automatic extraction of rules from already trained ANNs, thus obtaining the knowledge that an ANN obtains from the problem it solves.

Different rule-extraction techniques using ANNs have been used so far, always applied to multi-layer ANNs due to the fact that they are more easily handled. These networks also have a limited capacity with regard to the knowledge which can be distributed among their connections.

As may be inferred, the extraction of rules from recurrent ANNs is more complicated, due to the fact that past states intervene in neural activation, and that their capacity of distributed knowledge is considerably higher than that of multi-layer ANNs, since there are no restrictions to neural connectivity. If, besides, recurrent ANNs are used in dynamic problems where certain time characteristics such as the prediction of time series intervene, the task of extracting by means of the methods developed so far becomes harder, if not impossible for most of them.

Therefore, the system presented can be applied to every ANN kind. For that reason, the system should comply with a series of requirements [1]:

1) **It should have no ANN architecture requirements**: A rule-extraction mechanism which can work with every type of neural network, including those which are highly interlinked or recurrent.

2) **It should have no ANN training requirements**: Many of the proposed algorithms are based on a given ANN training process for which rule-extraction is designed. Therefore, they are not valid for other training sets.

3) **It should be correct**: Many rule-extraction mechanisms only generate functioning approaches. It is desirable that the rules describe the ANN as accurately as possible.

4) **It should have a highly expressive level**: Rule language (syntax) characterises the compactness of the extracted symbolic language. Usually, powerful languages are desirable, due to the fact that a very compact and easy to understand rule language can be produced.

II. STATE OF THE ART

A. Genetic Programming

Some people think that Cramer and Fuji, who published on evolving programs in 1985 and 1987 at the very first ICGA conference [2][3], are the pioneers of Genetic Programming (GP). But still others think that Friedberg from 1958 and 1959, who evolved machine language programs [4][5], is really the pioneer.

John Koza created the term which titles the book “Genetic Programming” [6]. This book establishes formally the bases of GP used nowadays. Later, the same author published “Genetic Programming II” [7], and, recently, “Genetic Programming III” [8]. Both explore new possibilities of GP.

Different branches derive form GP. One of the most promising ones with regard to Knowledge Discovery (KD) is that of fuzzy rules [9][10]. This branch derives form the union between fuzzy logic and systems based on rules (SBR). Fuzzy rules can be obtained by means of Evolutionary Computation (EC) with the technique known as Automatically Defined Functions (ADF) [7], which represent an evolution of the concept called “Classical genetic Computing".

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B. ANN rule extraction

Several authors have studied the relationship between ANNs and fuzzy rules [11] [12] [13]. Most results establish that equivalence by means of a process of consecutive approaches. Apart from being purely theoretical solutions, they require a great number of rules in order to approach the ANN functioning [13]. Jang’s and Sung’s work [11] is rather different, given that they provide an equivalence between radial ANNs and fuzzy systems where a finite number of rules or neurons is required, though in this case it is limited to a fixed ANN architecture.

Andrews [14][15] identifies three rule-extraction techniques: “decompositional”, “pedagogical” and “eclectic”. The first one refers to extraction at the neuron level. The second one treats the ANN as a black box, where by means of applying inputs to the network, a backwards to forwards analysis of the neurons in the hidden layers is carried out, extracting the corresponding rules. The third one uses the ANN architecture and the input-output pairs as a complement to a symbolic training algorithm.

Towell and Shavlik [16] apply the first technique using the connections between neurons as rules based on simple transformations. This limits extraction to those networks with a given multi-layer architecture and few process elements [14].

Thrun [17] has developed the main approach by means of using the second technique, titled “Validity Interval Analysis” (VIA). The algorithm uses linear programming (SIMPLEX), applying value intervals to each neuron’s activations in each layer. The system extracts “possibly correct” rules through the ANN by means of a backwards and forwards propagation of those intervals. This algorithm has, in the worst of cases, exponential complexity, due to the fact of using linear programming. Besides, when a great number of process elements is used, the time spent reaching the solution is unacceptable.

Other approaches using the second technique are RULENEG algorithms [18] and DEDEC ones [19], which use an ANN in order to extract rules from another ANN’s training set. However, those rule-extraction techniques which focus exclusively on the training data lose the generalization capacity which ANNs have. Other rule-extraction techniques are [20] [21] [1], which are based on previously debated approaches.

GAs have recently been used for finding and extracting ANNs, due to the advantages offered by evolutionary techniques for searching in complex systems. [22], using the second technique (pedagogical) uses a GA where the chromosomes are multi-condition rules based on intervals or value ranks applied to the ANN inputs. These values are obtained from the training parameters.

Wong and Leung have been used PG for knowledge discovery from databases (KDD). LOGENPRO (Logic grammar based Genetic Programming) [23]. It uses first order logic to represents the knowledge. This is the first approximation that shows the advantages of GP for KDD.

III. FUNDAMENTALS

One of the most important aspects of any rule-extraction system is the optimization of the rules obtained from the ANN analysis. It should be kept in mind that the extracted rules may be contained in general rules, and many of the logical expressions obtained may be simplified if they are written in a different way. Therefore, the optimization of rules consists of simplifying and carrying out symbolic operations on the rules. Depending on the extraction method and on the type of rules obtained, various optimization techniques can be applied. They can be classified into two main groups: imbibed optimization methods and a posterior methods. The latter are usually a syntactic analysis algorithm applied to the rules obtained in order to simplify them. For instance [24] uses Prolog as programming language for a post-processing of the rules obtained. He uses a Prolog program for reaching optimal linguistic variables, thus obtaining simplified rules which use those variables. Imbibed optimization techniques are techniques used for rule-extraction algorithms which intrinsically cause the algorithm to produce rules which are more and more optimal each time. An example may be the technique of depth penalization used in GP. Conceptually, when the adaptation level of a GP individual is evaluated (tree) its capacity is reduced a certain degree according to the number of terminal and non-terminal nodes that the tree has. Thus the existence of simple individuals is dynamically fostered. Therefore, if we are searching for rules (syntactic trees), the appearance of simple (optimization) rules is intrinsically favoured.

Another thing to be taken into account when applying the extraction algorithm is its modus operandi. As previously discussed, extraction techniques can be classified into three main groups: “decompositional”, “pedagogical” and “eclectic”. The first approach is based on treating each ANN neuron, particularly those in the hidden layers, and the output ones. Thus the rules are extracted from each neuron and from its relation to the rest. This makes the methods based on these techniques totally dependent on the architecture of the ANN, thus limiting their applicability in a general way. On the contrary, the second approach treats ANNs as “black boxes” where only the relations among inputs and outputs are considered. Therefore, the main goal of this technique is obtaining the function computed by the ANN, while the last approach combines elements from the other two. EC has been applied in this paper, and specifically GP as building algorithm of a syntactic tree which reflects a set of rules as similar as possible to the functioning of an ANN. A symbolic regression has been applied to the input-output patterns. These patterns are input sequences applied to an ANN and the outputs obtained from it. This type of technique can be termed as “pedagogical”, where the ANN is treated as a “black box”. This is an advantage, given that it is not necessary to know how an ANN works internally. However,
a rule-extraction algorithm which can work with “black box” structures should be able to implement some kind of mechanism which allows a priori incorporation of the knowledge obtained from the “black box”, thus reducing considerably the search space of the system rules. These structures are known as “grey box”. This is possible thanks to the use of GP due to the fact that the number and type of terminal and non-terminal elements which intervene in the search process can be determined. For instance, if we know that an ANN carries out classification tasks, the type of terminal nodes can be determined as Boolean, avoiding floating point levels. This offers a great advantage, given that all the possible combinations of mathematical operations can be eliminated beforehand.

IV. SYSTEM’S DESCRIPTION

The main method proposed for the extraction of ANN rules is the use of EC, due to the fact that it has proved to be very useful at search tasks, where the solution space increases exponentially with regard to the problem to be solved. The use of GP is proposed since it offers the advantage of having a way of representing and structuring information by means of a semantic tree. This tree diagram is a natural way of representing a rule which can be easily understood by human beings.

This article suggests the addition of the self-explanatory function of ANNs. A system which develops the set of rules which serve as a model for a network’s functioning will be generated for this purpose. This set of rules extracted from ANNs constitute a fuzzy rules based system (FRBS), i.e. an understandable representation is obtained about the knowledge treasured by a neural network.

The proposed system will be validated contrasting its correct functioning with other existing extraction techniques based on classification techniques. Some of these cases are the breast-cancer diagnosis and the lethal hepatitis one. In these cases, the direct extraction of rules from those data will be proven with the purpose of extracting the rules pertaining to each network by means of training ANNs.

Finally, the algorithm will be proved with recurrent ANNs for time-series prediction tasks. An ANN trained for predicting a laboratory chaotic time series (such as the Mackey-Glass) will be used for this purpose.

In each case, the work is initially based on obtaining ANNs for solving the problem. Once the ANNs are designed and trained, the same test and training values are used for generating a second data pattern which will be used for finding the rules acquired by the ANN in the training process (Fig.1).

The rule-extraction algorithm, as discussed before, is based on GP. This search technique allows problem solving by means of the automatic generation of algorithms and expressions. These expressions are codified in the shape of a tree. In order to create this tree, we must specify which nodes will be terminal (leaves) and which will be non-terminal. The difference is that some of them will be able to have offspring and the others will not.

FIG. 1: RULE EXTRACTION PROCESS

When terminal and non-terminal operators are specified, it is necessary to specify their types: each node will have a type, and the non-terminal ones will require a specific type to their offspring [25]. This ensures that the trees thus generated satisfy the user’s grammar. Besides, both specified operator sets must comply with two requirements: closure and sufficiency, i.e. it must be possible to build correct trees with the specified operators, and that the solution to the problem (the expression we are looking for) can be expressed by means of those operators. Depending on the problem to be solved, mathematical and trigonometrical functions (sine, cosine, square root,…), logic operators (AND, OR, NOT, IF-ELSE) on real and Boolean numbers have been used as operators, together with the typical operations +, -, *, and % which is the protected division (avoid dividing by zero). Thus, logic operators have been basically selected for classification tasks, dispensing with mathematical operations. On the contrary, it is necessary to use them for prediction, due to the nature of the outputs of the ANNs which deal with this type of problem.

V. RESULTS

A. Classification problems

ANNs have shown their extreme usefulness for tasks in which having an input sequence we must decide whether those values correspond to a certain classification. As it was debated before, various problems of medical diagnosis have been used in order to train ANNs so that they produce it.

The first data set used was the detection of lethal hepatitis cases. For this purpose we used a database obtained from UCI [26]. It contains 155 examples for two classifications: 32 deaths, and 123 alive cases. There are 19 attributes, 13 of which are Boolean while 6 have discreet values. An ANN was trained with these examples, obtaining an adjustment of 100% of cases. The ANN has a multi-layer architecture, with one hidden layer with 9 neurons and with tangent hyperbolic activation functions.
The extraction of rules has been applied to this ANN, with the following operators:

Constants: 20 random values in the range [0,1]
Variables: 19 inputs
Operators: <, >, =, AND, OR, NOT, IF-ELSE, on Boolean and real values (threshold 0.5).

The adjustment value obtained is the correct classification of 98.75% of cases. The rules obtained are represented in the equation (1).

\[
\begin{align*}
\text{(IF } X2 \text{ THEN } 0.88265634 \\
\text{ELSE (IF } (X2 \text{ THEN } 0.88595235 \text{ ELSE } X18) < 0.62471390) \\
\text{THEN ((IF } ((X6 \text{ THEN } 0.98004091 \text{ ELSE } 0.62053287) > 0.93807793) \\
\text{THEN (NOT X12) ELSE X7) THEN } X18 \\
\text{ELSE } 0.88265634) \text{ ELSE (IF } X13 \text{ THEN } X18 \text{ ELSE } 0.23380230)\end{align*}
\]

TABLE I

<table>
<thead>
<tr>
<th>Method</th>
<th>Accuracy</th>
<th>Ref.</th>
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<tr>
<td>OUR</td>
<td>98.75%</td>
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<tr>
<td>C-MLP2LN</td>
<td>96.1%</td>
<td>[24]</td>
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<tr>
<td>k-NN, k=18, Manhattan</td>
<td>90.2%</td>
<td>[24]</td>
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<tr>
<td>FSM + rotations</td>
<td>89.7%</td>
<td>[24]</td>
</tr>
<tr>
<td>LDA</td>
<td>86.4%</td>
<td>[27]</td>
</tr>
<tr>
<td>Naïve Bayes</td>
<td>86.3%</td>
<td>[27]</td>
</tr>
<tr>
<td>IncNet + rotations</td>
<td>86.0%</td>
<td>[28]</td>
</tr>
<tr>
<td>QDA</td>
<td>85.8%</td>
<td>[27]</td>
</tr>
<tr>
<td>1-NN</td>
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<tr>
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<td>FDA</td>
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<tr>
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</tr>
<tr>
<td>MLP with BP</td>
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<td>[27]</td>
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<td>ASI</td>
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<tr>
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<tr>
<td>Default</td>
<td>79.4%</td>
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TABLE II

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<th>Accuracy</th>
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<td>99.28%</td>
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<tr>
<td>C-MLP2LN</td>
<td>99.0%</td>
<td>[24]</td>
</tr>
<tr>
<td>IncNet</td>
<td>97.1%</td>
<td>[28]</td>
</tr>
<tr>
<td>k-NN</td>
<td>97.0%</td>
<td>[24]</td>
</tr>
<tr>
<td>Fisher LDA</td>
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<td>[27]</td>
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<tr>
<td>MLP with BP</td>
<td>96.7%</td>
<td>[27]</td>
</tr>
<tr>
<td>LVQ</td>
<td>96.6%</td>
<td>[27]</td>
</tr>
<tr>
<td>Bayes (pairwise dependent)</td>
<td>96.6%</td>
<td>[27]</td>
</tr>
<tr>
<td>Naïve Bayes</td>
<td>96.4%</td>
<td>[27]</td>
</tr>
<tr>
<td>DB-CART</td>
<td>96.2%</td>
<td>[29]</td>
</tr>
<tr>
<td>LDA</td>
<td>96.0%</td>
<td>[27]</td>
</tr>
<tr>
<td>LFC, ASI, ASR</td>
<td>94.4-95.6</td>
<td>[27]</td>
</tr>
<tr>
<td>CART</td>
<td>93.5%</td>
<td>[29]</td>
</tr>
</tbody>
</table>

B. Forecast of time series

It is necessary to use recurrent ANN architectures for the prediction of time series and for modelling this type of problems. The extraction of rules from recurrent architecture ANNs poses an additional challenge, since these ANNs are characterised by their huge capacity of representation and distributed knowledge among their connections. This can be specifically applied to time and dynamic problems. The problem to be solved will be the prediction of a classical random laboratory time series: the Mackey-Glass series [30].
The following results show that the rules to be obtained from this ANN should incorporate mechanisms for treating time values. Therefore, non-terminal nodes representing mathematical and trigonometrical operations will be used, together with input variables at previous n moments \((X_n)\). Most of these time series cases are structures with a single input and a single output. The input corresponds to a number value of the \(t\) moment, while the system’s output is the prediction of the number value at \(t+1\). This shows the level of complexity dealt with by the rule-extraction algorithm.

The Mackey-Glass equation is an ordinary differential delay equation (3).

\[
\frac{dx}{dt} = \frac{ax(t-\tau)}{1+x^m(t-\tau)} - bx(t)
\]  

Choosing \(\tau = 30\), the equation becomes chaotic, and only short-term predictions are feasible.

Integrating the equation (3) in the rank \([t, t + \delta t]\) we obtain:

\[
x(t + \Delta t) = \frac{2 - b\Delta t}{2 + b\Delta t} x(t) + \frac{a\Delta t}{2 + b\Delta t} \left( x(t + \Delta t - \tau) + \frac{x(t - \tau)}{1 + x^m(t - \tau)} \right)
\]

The first step is obtaining a recurrent ANN which emulates the behaviour of the series. In order to achieve that, different activation functions were used. The tangent hyperbolic is the one which yields best results. Besides, three neurons of this type with total interconnection were used. The training files used correspond to the first 200 values of the time series (Fig.3). The RANN resulting from the training process which has yielded the least medium square error (MSE=0.000072) may be seen in Fig.2.

The GP parameters which have been selected due to their best results are:

- **Selection algorithm**: Tournament
- **Crossover rate**: 95%  
- **Mutation rate**: 4%  
- **Population size**: 1000 individuals  
- **Parsimony level**: 0.0001

Besides, the elitist strategy has been used, not allowing the loss of the best individual.

The rule expressed as a mathematical function is the following:

\[
((X_n * ((((((X_n * (X_n * X_{n-2})) % X_{n-2}) * (((0.9834 * (((((X_n * (X_{n-2} % X_{n-3})) * X_{n-3}) * X_{n-3}) % X_{n-3}) % (X_n % X_{n-3})) % X_{n-3}) % ((X_{n-2} * X_{n-2}) % X_{n-3})) % X_{n-3}) * X_{n-3}) * X_{n-3}) * 0.9834))
\]

This function obtains an adjustment value (normalised) on the 1000 values produced by the RANN of 0.0029. The next graph compares the values produced by the function forecast (4) and the RANN forecast.

![FIG. 3: COMPARATIVE BETWEEN THE RANN FORECAST AND THE FUNCTION FORECAST (4)](image)

**VI. CONCLUSIONS**

As inferred from the results presented, the GP-based rule extraction algorithm considerably improves the existing methods, being applicable to any ANN architecture, whether recurrent or feed-forward. Depending on the task for which the ANN has been designed, the types of operators on which GP works might be varied.

As discussed in the introduction, the four features required by a rule-extraction system are faithfully approached in this case:

- By means of applying EC, the ANN is treated as a black box, where only the inputs-outputs it produces are considered. Therefore, it does not depend on their architecture or on their training algorithm (requirements 1 and 2 complied with).
The rules extracted from the ANN are so similar to the ANN’s functioning as the adjustment value produced by the algorithm (GP), and as expressive as the semantic level used by the GP’s codified expression trees.

Therefore, we may say that GP-based algorithms fit the needs of the rule-extraction systems, apart from generating good results compared to other more specific methods of the ANN’s architecture and training.

VII. FUTURE WORKS

A possible development of the system is that of applying GP not only in order to obtain rules, but also operability ranks of ANNs. It would be like a valid system for an ANN’s functioning for the problem to be solved. Not only the initial training set which carries out the adjustment should be used for this purpose, but also new input data sets should be created simulating all the possible variations of values which could enter the ANN. Examining their outputs, functioning ranks should also be established.

Another future development will be the analysis of the different parameters intervening in the algorithm’s correct functioning, according to the type of problem solved by the ANN. The ANN should be treated not as a black box, but as a grey one, where, for instance, the ANN’s activation function is known, being incorporated as one of the mathematical operators of GP, and analysing which are the rules extracted by this operator.

In order to accelerate the rule-extraction process, it is possible to use a network with several computers so that the search is conducted in a distributed and concurring way, exchanging rules (sub-trees) among them.

VIII. ACKNOWLEDGEMENTS

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IX. REFERENCES